

**REGISTERED SECURITIES  
ACCOUNT AGREEMENT  
&  
ORDER-TAKING AGREEMENT**

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GENERAL TERMS AND CONDITIONS

# REGISTERED SECURITIES ACCOUNT AGREEMENT ORDER-TAKING AGREEMENT

GENERAL TERMS AND CONDITIONS

## 1. PREAMBLE

This agreement, drawn up between the holder of financial securities registered in "pure registered" form and/or the beneficiary of an employee share ownership scheme (hereinafter the "Account Holder") and Societe Generale (hereinafter "SGSS"), is made up of these general terms and conditions, its appendices as well as a registration form.

It concerns the following instruments exclusively:

- financial securities held by the Account Holder in "pure registered" form when SGSS maintains the register by name on behalf of the issuing company;
- and, where applicable, the rights (share subscription or purchase options, allocation of bonus shares) and/or securities allocated by a company or acquired by the Account Holder as part of an employee share ownership plan administered by SGSS on behalf of this company.

For these financial instruments, SGSS provides custodian account keeper and stock market order receipt/transmission services to the Account Holder. It should be specified that, in certain cases, the provisions of this agreement may not apply to securities issued by foreign (non-French) issuing companies or on the basis of foreign law.

The Account Holder who is a beneficiary of an employee share ownership scheme expressly acknowledges having been informed of the special terms of this plan and its impacts on the operation of the securities account (depending on the case: vesting period, mandatory holding period, applicable taxation, transmission of information or regulations through the employer, etc.). In the event of inconsistencies, the rules applicable to the employee share ownership plan take precedence over this agreement.

## 2. OPENING OF THE REGISTERED SECURITIES ACCOUNT

### 2.1. GENERAL INFORMATION

The securities account is opened in the name of the Account Holder(s), whether natural person or legal entity, who must submit to SGSS the completed and signed registration form accompanied by the supporting documents requested in this form and recalled in appendix 2.

The securities account may be an individual account, a joint and several account, a split account (usufruct and reversionary-ownership), or a joint account. Appendix 3 defines the specific documents to be provided based on the type of securities account.

### 2.2. CAPACITY AND POWERS

The Account Holder must be a legally competent person or must be duly represented if incompetent.

Where the Account Holder is a legally incompetent person and according to the applicable system of representation, the Account Holder or their representative will provide SGSS with a copy of the decision of the guardianship judge warranting the system of representation and allowing the account operation methods to be determined.

The securities account may also be opened in the name of a minor and operate under the signature of the legal representatives appointed when the account is opened. Note that legal representatives may not, without the prior authorisation of the guardianship judge, carry out an act relating to securities or financial instruments if the latter commits the minor's assets for the present or future by a material change in its content, a significant depreciation in its capital value or a lasting alteration of the minor's prerogatives. It is therefore up to the legal representatives, in view of their knowledge of the nature of their child's assets, to decide whether or not to seek prior authorisation from the guardianship judge.

The securities account can be opened and operated with the signature of one authorised agent appointed for this purpose by separate instrument.

If the Account Holder is a legal entity, the securities account may be opened and operated with the signature of the legal representative or a duly authorised person. According to its legal form, the legal entity Account

Holder shall provide SGSS with all documents proving the powers of the representatives authorised to operate the securities account. These powers will become null and void should the legal entity Account Holder be subject to court-ordered administration or compulsory liquidation proceedings.

When the legal entity Account Holder is acting as an intermediary holding securities on behalf of a third party, it must specify this in the registration form and immediately inform SGSS of any change in its status.

### 2.3. ADDRESS, RESIDENCE FOR TAX PURPOSES, BANK ACCOUNT DETAILS AND SETTLEMENTS

Account Holders are informed that they must comply with the legal and regulatory obligations applicable thereto, particularly relating to taxation, whether their banking residence or domiciliation is in France or abroad. Account Holders undertake to immediately notify SGSS in the event of a change in contact information, address, banking domiciliation, or taxation situation and to provide the corresponding supporting documents, as set out in Appendix 2.

Note that as part of an employee share ownership plan, all or some of the settlement referred to hereinafter may be carried out through the employer, according to the arrangements made with the employer.

The sums corresponding to transactions recorded in the securities account (for example, sales of securities, dividends, etc.) are settled by bank transfer; consequently, the Account Holder must have a cash account open in their name and present to SGSS during the opening of the securities account:

- for cash accounts opened in France: bank details (RIB),
- for cash accounts opened outside France: a supporting document issued and stamped by the Account Holder's bank indicating the complete bank account details (IBAN, BBAN for example).

The settlement currency corresponds to that of the State of Account Holder's banking domiciliation, unless otherwise instructed or if SGSS is unable to manage a particular currency. The Account Holder will be informed if a foreign exchange transaction was necessary in order to carry out the settlement.

**REGISTERED SECURITIES ACCOUNT AGREEMENT  
ORDER-TAKING AGREEMENT**

---

GENERAL TERMS AND CONDITIONS

In the event of a change in the cash account, the Account Holder undertakes to inform SGSS immediately and to submit the new complete bank account details.

SGSS cannot be held responsible for the consequences related to the Account Holder's failure to provide or update such information.

# REGISTERED SECURITIES ACCOUNT AGREEMENT ORDER-TAKING AGREEMENT

GENERAL TERMS AND CONDITIONS

## 3. OPERATION OF THE REGISTERED SECURITIES ACCOUNT

### 3.1. GENERAL INFORMATION

The securities account operates according to the provisions of the general regulations of the French financial markets authority (Autorité des Marchés Financiers). Securities registered in an account cannot be used by SGSS or a third party, unless agreed by the Account Holder.

According to the terms of its appointment as custodian account operator, SGSS undertakes to ensure the custody of securities recorded in the account and to meet the resulting administration obligations, mainly the payment of revenues, notification of the Account Holder about the events regarding the retained securities, and the processing of the Account Holder's instructions.

SGSS agrees to comply with rules relating to the circulation and safekeeping of securities as defined by the general regulations of the AMF and of the central securities depository.

The Account Holder has been informed and accepts that securities issued on the basis of foreign laws belonging to the Account Holder and recorded in the Account Holder's securities account are, if the applicable law permits it, held by an intermediary established abroad - where applicable, outside of the European Economic Area - in a global account opened in the name of SGSS or an intermediary and governed by local law.

SGSS acts with all the necessary competence, care, and diligence for the selection, appointment, and periodic examination of these intermediaries and the measures taken by them pertaining to the holding of securities. The Account Holder's rights on securities thus deposited abroad may differ depending on local regulations.

SGSS undertakes to compensate the Account Holder for any damage or harm directly resulting from a fault of one of these intermediaries.

The Account Holder declares that it accepts the risks resulting from how its Securities are held abroad.

In general, in the case of securities issued by a foreign (non-French) issuer or under foreign law, SGSS's liability is limited to its obligations as agent of the issuing company under French law, according to the terms of the contract between the issuing company and SGSS.

### 3.2. MODIFICATIONS

Any legislative or regulatory measure or any adoption of market rules modifying this agreement in whole or in part will apply as soon as they come into force.

Furthermore, in order to extend and improve the services offered, SGSS reserves the right to adapt or modify this agreement at any time.

The Account Holder will be informed by mail or electronically of the availability of the new agreement at least two months before its entry into force. If there is no termination at the end of this period, the continuation of the account relationship will imply acceptance of this new agreement.

### 3.3. PRICING

The pricing related to the provided services and the operation of the securities account is defined hereinafter.

In the event of a modification in pricing, the Account Holder will be informed by mail or electronically at

least two months before it takes effect. At the end of this period, the continuation of the account relationship by the Account Holder will imply agreement to the application of the new pricing policy.

In addition, the Account Holder is hereby informed that any tax or levy incurred in connection with the operation of the securities account will be billed to the Account Holder and that the prices for the services and operations covered by this agreement, as well as the related taxes or levies, will be borne by the Account Holder and must be paid within the required time periods for the service or operation in question.

Any delay in settlement may result in the application, without prior notice, of penalties for delay equal to the minimum rate specified by law, i.e. three (3) times the legal interest rate.

#### 3.3.1. Management of the pure registered securities account

The account is managed free of charge for the Account Holder (the issuing company shall bear the cost of management of the "pure registered" securities accounts).

#### 3.3.2. Stock market and option exercise orders

The pricing policy for stock market and option exercise orders is available on the SHARINBOX website ([www.sharinbox.societegenerale.com](http://www.sharinbox.societegenerale.com), in the "pricing policy" section of the online space) or upon request to our departments (see article 19 "Account Holder Relations").

#### 3.3.3. Other specific operations and services

For pricing applicable to the processing of other specific operations and services (donations, pledging, clawback, other), the Account Holder is invited to consult us beforehand (see article 19 "Account Holder Relations").

## 3.4. JOINT AND SEVERAL ACCOUNTS

The account operates with the signature of all the undivided co-owners or with the signature of a person having been granted power of attorney.

## 3.5. SPLIT ACCOUNT (USUFRUCT/REVERSIONARY OWNERSHIP)

The account operates with the signature of the usufructuaries and the reversionary owners according to the conditions set by the texts allocating each person's rights, or with the signature of a person having been granted power of attorney.

When a company offers its shareholders the option to receive dividends in the form of new shares, a notification with reply coupon is sent to the usufructuary. If the usufructuary decides to take up the offer, the new shares will be registered in full ownership in the usufructuary's name.

## 3.6. JOINT ACCOUNT

The account operates with the signature of either of the co- Account Holders. Each Co-Account Holder may carry out on their own all acts of disposal on the securities registered in the joint account (purchase, sale, transfer, subscription, etc.).

Each of the Co-Account Holders may, at any time, terminate the joint account, the account then taking the form of a joint and several account governed under the conditions set out in 3.4.

**REGISTERED SECURITIES ACCOUNT AGREEMENT  
ORDER-TAKING AGREEMENT**

---

GENERAL TERMS AND CONDITIONS

The non-pecuniary rights attached to the securities (in particular the right to take part in and vote at shareholders' meetings, right of information and communication) are exercised by the Co-Account Holder designated contractually, or failing this, the first named in the name of the joint account.

The termination of the joint account by one of the Co-Account Holders or the death of one of them automatically results in the revocation of the

contractual designation of the Co-Account Holder exercising the non-pecuniary rights. The Co-Account Holder who wishes to terminate the joint account shall notify SGSS and the other Co-Account Holder thereof by registered letter. Upon receipt of the registered letter by SGSS, the joint account shall only work on the joint signatures of the Co-Account Holders, pending the decision to allocate securities by mutual agreement by the Co-Account Holders. Orders transmitted but not yet executed on the date of termination remain valid unless the Co-Account Holders agree to cancel them.

# REGISTERED SECURITIES ACCOUNT AGREEMENT ORDER-TAKING AGREEMENT

GENERAL TERMS AND CONDITIONS

## 4. ACCESS TO ONLINE AND TELEPHONE SERVICES

SGSS has established remote services by internet and telephone. Access to them and their main terms and features are outlined below.

These terms and features can be scalable based on the issuing company's choices.

### 4.1. LOGIN PROCEDURES

When the securities account is opened, an access code and a temporary password are provided by SGSS to the Account Holder enabling them to log in to the SHARINBOX website at [www.sharinbox.societegenerale.com](http://www.sharinbox.societegenerale.com).

When first logging onto the SHARINBOX website, the Account Holder must activate their account. To this end, the Account Holder will be asked to enter an e-mail address, and as a security measure, to change their password. The Account Holder will then be redirected to an additional authentication page ("Additional Authentication") requiring the use of a valid telephone number or a mobile app that can be downloaded to their telephone. Additional Authentication must be carried out within a certain period of time, failing which the Account Holder must request that the NOMILIA interactive voice server be reset. The Account Holder may refer to the login guide for more information on login procedures.

In subsequent logins, the Account Holder will have to enter the e-mail address provided and their password. SGSS draws the Account Holder's attention to the fact that, in the event of a login on a device other than the device usually used (PC, smartphone or tablet), they will be asked to reconfirm their Additional Authentication.

To access the NOMILIA interactive voice server accessible via the number +33 (0)2 51 85 67 89 (standard-rate number billed according to the carrier contract and the call's country of origin), and all its features, the Account Holder must generate an authentication code via the "Contact us" section of the SHARINBOX website. Otherwise, access to the services of the NOMILIA voice server will be limited, and in particular the Account Holder will be unable to place stock market orders or exercise stock options.

These services are available in several languages and accessible 24/7.

The login and authentication information mentioned above is confidential. The Account Holder is solely responsible for the safekeeping and use of this information as well as all consequences resulting from an error in transmission or manipulation of the service by internet or telephone, or a third party knowing it.

For security reasons, the Account Holder's access to the SHARINBOX website will be blocked if incorrect login information is entered too many times.

In the event that the login information is forgotten or lost, or if access is blocked, the Account Holder is invited to read the procedures for resetting their login information on the SHARINBOX website. SGSS draws the Account Holder's attention to the fact that, in certain cases, this login information will be sent by post. The Account Holder acknowledges that SGSS is not responsible for delays in delivery of the mail in question.

In the event of a change in the telephone number entered during first login or a change of mobile phone, the Account Holder must request a reset of the Additional Authentication by contacting the NOMILIA voice server.

### 4.2. EQUIPMENT NECESSARY FOR USING ONLINE AND TELEPHONE SERVICES

Use of the service:

- by internet requires a computer able to connect to the internet;
- by telephone requires a telephone with a star key (\*).

Account Holders are personally responsible for the proper operation of their equipment as well as the necessary subscriptions for using the internet and telephone. SGSS will not be responsible in the event of interruption of the services related to a failure of the Account Holder's communication resources or equipment.

### 4.3. FEATURES OF THE ONLINE SERVICE

This service allows Account Holders to have access to the following functions:

- update personal information,
- consult the securities account and/or rights in order to review account activity and the balance of holdings,
- send and receive messages to or from SGSS or the issuing company,
- download all administrative and account management documents,
- consult the type of voting rights (single or double) attached to the securities held and any changes in these voting rights,
- run simulations of the exercise of options,
- where applicable, the transmission of stock market orders, the exercise of options or instructions on corporate actions,
- and, if it is applicable, consult the characteristics of the employee share ownership plan.

Access to the functions of the service via internet may be restricted for accounts with special operating terms, namely: accounts of legally protected or represented persons, joint-ownership accounts and split accounts (usufruct and reversionary ownership).

### 4.4. FEATURES OF THE TELEPHONE SERVICE

This service allows Account Holders to have access to the following functions:

- contact an operator, Monday to Friday between the times stated on the SHARINBOX website, to obtain information,
- consult account activity and the balance of the securities account and/or rights,
- listen to messages from the issuing company,
- where applicable, transmit stock market orders only in French or English,
- and, if it is applicable, consult the characteristics of the employee share ownership plan.

**REGISTERED SECURITIES ACCOUNT AGREEMENT  
ORDER-TAKING AGREEMENT**

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GENERAL TERMS AND CONDITIONS

# REGISTERED SECURITIES ACCOUNT AGREEMENT ORDER-TAKING AGREEMENT

GENERAL TERMS AND CONDITIONS

## 5. TERMS AND CONDITIONS FOR TRANSMITTING STOCK MARKET AND OPTION EXERCISE ORDERS

**DISCLAIMER:** In accordance with the regulatory requirements on combating money laundering and the financing of terrorism and international sanctions and embargoes, for any stock market order and the exercise of options, the Account Holder must have completed and signed their identity and domicile data and have returned the proof of identity and/or domicile requested by SGSS, as well as the signed and completed registration form for these General Terms and Conditions. Failing this, SGSS reserves the right to suspend the processing of a transaction.

### 5.1. GENERAL INFORMATION

#### 5.1.1. Notice regarding risks

The Account Holder is aware of the rapid, random fluctuations that could occur on certain markets. The Account Holder accepts the risk related to these fluctuations and acknowledges being solely responsible for investment and speculation operations that the Account Holder initiates on financial markets.

SGSS warns the Account Holder about the risk of fluctuation in prices that the execution of an order of a large amount could entail based on the liquidity of the market in question.

#### 5.1.2. Establishment of a hedge

Orders are transmitted exclusively in cash without any possibility of deferred settlement service (SRD).

In accordance with the regulations, SGSS requests, during the receipt of an order, the establishment in its books of a hedging reserve:

For a sell order, the Account Holder must have a sufficient, available reserve in securities.

For a buy order:

- In the case of settlement by cheque or bank transfer, the Account Holder must, in support of the order, pay a cash reserve corresponding to at least 50% of the estimated value of the securities to be purchased (based on the last known valuation):  
Payment of the balance (including commission and taxes, if applicable) must be made by cheque or bank transfer within five business days of order execution.
- In the case of payment by direct debit (subject to the prior return of the direct debit mandate), the funds shall be debited in full from the Account Holder's account within five working days of order execution.

In the event of a payment incident when the cheque is debited or cashed, SGSS reserves the right to require 100% coverage and the settlement of buy orders exclusively by bank transfer.

Securities are only available after SGSS has collected the total purchase amount.

#### 5.1.3. Employee share ownership plans

The order transmission service is conditional, where appropriate, on the rules applicable to the employee share ownership plan in question. For example:

- the sale of securities may not be possible during a lock-up period,
- the acquisition of securities may not be possible outside of the employee share ownership plan,
- the exercise of an option may be conditional on respecting a lock-up period.

### 5.2. TRANSMISSION OF ORDERS

The Account Holder may transmit their orders:

- by internet, via the SHARINBOX website
- by telephone, via the NOMILIA voice server
- by letter to the following address:  
Société Générale Securities Services  
SGSS/SBO/ISS/CLI/NAN  
32 rue du champ de tir CS 30812  
44308 Nantes Cedex 3 - France
- By fax to +33 (0)2 51 85 53 42

In the case of transmission of orders by post or fax, the Account Holder must use the specific form, available upon request from SGSS departments, see article 19 "Account Holder Relations"). Any order received on paper will be rejected.

#### 5.2.1. Account Holders and services concerned

The issuing company may have requested the establishment of a service for transmission of stock market and/or option exercise orders through the online and/or telephone services.

If not, the Account Holder is invited to use the transmission methods set out in article 11 "Absence or interruption of online or telephone services".

When the issuing company has chosen the online and/or telephone service, it is open to Account Holders, whether natural persons or legal entities, with the exception of accounts with special operating terms, namely: accounts of legally protected or represented persons, joint-ownership accounts and split accounts (usufruct and reversionary-ownership).

In the event of disability or death of the Account Holder (except joint account), transmission of orders through the online and/or telephone services is no longer possible.

#### 5.2.2. Types of stock market orders

The following types of stock market orders are permitted on online and telephone services:

- the "limit price" order allows the buyer to set a maximum price and the seller to set a minimum price at which the order will be executed. The order may be executed at several times or partially.
- the "best limit" order is executed at the best price offered at the time of its arrival on the market. It is possible that it may not be executed due to a lack of funds. In case of partial execution, the fraction of the order not executed becomes a limited order during the first execution.

#### 5.2.3. Transmission via the SHARINBOX website

Orders are transmitted over the internet by connecting to the secure website [www.sharinbox.societegenerale.com](http://www.sharinbox.societegenerale.com) using the Account Holder's login information (see article 4.1 "Login procedures").

# REGISTERED SECURITIES ACCOUNT AGREEMENT ORDER-TAKING AGREEMENT

## GENERAL TERMS AND CONDITIONS

The entry of orders is initiated by the Account Holder from the "Place a sell order" or "Place a buy order" menu.

Once the Account Holder validates the order on the SHARINBOX website, SGSS assumes responsibility for its consideration and transmission as soon as possible for execution with selected traders (subject to opening hours and market conditions).

Order tracking and execution details appear on the SHARINBOX website and can be consulted by the Account Holder from the "My transactions" menu.

### 5.2.4. Transmission through the NOMILIA voice server

Orders can be transmitted by telephone by dialling +33 (0)2 51 85 67 89 (standard-rate number billed according to the carrier contract and the call's country of origin) and by entering on the server the authentication code generated.

Orders may be transmitted in French or English. The Account Holder must provide the following information:

- their identity, namely for a natural person: their surname, first name(s), date and place of birth; for a legal person: its legal name, legal form, registration number and address of its registered office,
- their identification number (shown on all statements sent to the Account Holder),
- their telephone number,
- characteristics of the stock market order: name of the security to be traded, direction of the trade (buy/sell), quantity, order type, and validity date,
- if exercising options, characteristics of the option exercise order: name of the issuing company, date of allocation of the options, exercise price, and quantity exercised.

The Account Holder is informed that telephone communications are recorded and stored, and that a written transcript is available on request and can be used as proof of orders for a period of five years. No claims regarding an order transmitted by telephone may be accepted beyond this period.

## 5.3. STOCK MARKET ORDER PROCESSING PROCEDURE

### 5.3.1. Time-stamping - Closing days

SGSS records the date and time of incoming orders and swiftly forwards them to the selected traders for them to be carried out according to the Account Holder's instructions.

Orders received on non-trading days on the markets are transmitted on the following trading day, taking into account the necessary processing and delivery times.

### 5.3.2. Validity of orders

If the Account Holder does not indicate a final validity date, the orders are valid up to and including the last trading day of the month following the month in which the order was transmitted.

If the Account Holder indicates a final validity date, the validity of the order expires at the end of the trading day corresponding to the indicated date (or at the end of the trading day preceding that date, if the indicated date is not a trading day).

In general, in accordance with the market rules:

- For "limit price" orders that are valid but not yet executed at the time of a dividend payment: on the day before the ex-dividend date, after

market close, the limit price will be automatically reduced by the amount of the dividend in order to reproduce the equivalent decrease in the share's market price,

- An order expires automatically when any specific benefit on the security in question is awarded (detachment of a subscription or allotment right, for example).

After the order has been transmitted, SGSS agrees to forward, without guaranteeing that they will be taken into consideration, any requests received by the NOMILIA voice server for outstanding valid orders not yet executed. No order cancellation request is possible through the SHARINBOX website.

### 5.3.3. Order processing procedures

- If the Account Holder carries out transactions on products that are not particularly complex as defined by the applicable regulations (shares traded on a regulated market, bonds and other forms of securitised debt without embedded derivatives, etc.), SGSS transmits orders to the traders for simple execution without any checks as to the appropriateness of the product,
- On the other hand, with complex or high-risk products, upon the first transaction, SGSS must assess the Account Holder's degree of understanding of the risks inherent in the product in question. To this end, SGSS is obliged to ask the Account Holder for information concerning their knowledge and experience, it being understood that Account Holders who have carried out transactions on a type of financial instrument prior to 1 November 2007 are presumed to have the required level of experience and knowledge to understand the risks and will therefore not be assessed for transactions that they carry out on these products after this date.

SGSS acts honestly, loyally and professionally, serving the best interests of the Account Holder and fostering market integrity. In particular, it respects all rules established to organise the workings of the regulated markets and the multilateral trading facilities on which it operates.

### 5.3.4. Trader selection and stock market order execution policy

Within the context of its order reception-transmission service provided for its Account Holders, SGSS applies a strict selection of the traders to whom it sends Account Holders' orders for execution, in order to provide the Account Holders with the best possible result when their orders are executed by these traders.

To this end, SGSS applies the policy for selecting traders and executing orders in force at the Societe Generale retail bank, which is provided in Appendix 1.

### 5.3.5. Execution of stock market orders and accounting

The Account Holder is informed that once the order is transmitted, the probability of its execution depends on its characteristics as well as the market's conditions and liquidity. SGSS cannot be held liable in the case of non-execution or partial execution of an order for any reason, apart from a fault exclusively resulting from its actions.

Each executed order or fraction of an order gives rise to the application by SGSS of a commission as specified in article 3.3 "Pricing" as well as, where applicable, taxes and levies incurred in connection with the order's transmission and execution.

Should the order not be able to be transmitted for any reason, SGSS shall notify the Account Holder within the maximum period of one business day after it has

# REGISTERED SECURITIES ACCOUNT AGREEMENT ORDER-TAKING AGREEMENT

## GENERAL TERMS AND CONDITIONS

established that transmission of the order on the market would be impossible.

Proceeds from the sale, net of any expenses (exchange commissions included for settlements in foreign currency), any taxes and levies, will be sent by bank transfer as soon as possible, and generally, within three business days following the execution of the order according to the terms set out in article 2.3 "Address, residence for tax purposes, bank account details, and settlements".

### 5.3.6. Default of the Account Holder

The securities are temporarily posted to the Account Holder's securities account until SGSS collects the total amount of the purchase.

Failing payment within the stipulated time frame, in accordance with the applicable regulations, the securities remain fully owned by SGSS, as a guarantee of payment of the sums owed by the Account Holder.

SGSS will sell the shares that were the subject of the buy order on the stock market within 15 business days after providing formal notice. The Account Holder remains liable to SGSS for all expenses, penalties or harm suffered as a result of the failure to pay the sums due within the required periods.

## 5.4. SPECIAL FEATURE OF OPTION EXERCISE INSTRUCTIONS

### 5.4.1. Simple exercise instructions

Options are definitively exercised and the shares resulting from the exercise are entered into a registered account in the name of the Shareholder as soon as the funds for the full amount of the exercise and any corresponding expenses, taxes, and levies are received by SGSS and have been cashed by SGSS.

Payment must be made by direct debit, cheque or bank transfer, into an account whose details have been communicated by SGSS.

## 6. CORPORATE ACTIONS

**DISCLAIMER:** In accordance with the regulatory requirements on combating money laundering and the financing of terrorism and international sanctions and embargoes, for any corporate actions, the Account Holder must have completed and signed their identity and domicile data and have returned the proof of identity and/or domicile requested by SGSS, as well as the signed and completed registration form for these General Terms and Conditions. Failing this, SGSS reserves the right to suspend the settlement of a transaction.

### 6.1. PAYMENTS OF REVENUES

All payments (particularly dividends) intended for the Account Holder will be settled through a bank transfer to the cash account for which the Account Holder has provided the account details according to the procedures set out in article 2.3 "Address, residence for tax purposes, bank account details, and settlement" (unless there is a special arrangement provided for in an employee share ownership plan).

### 6.2. OTHER CORPORATE ACTIONS

#### Notice

As an account keeper and in application of the French regulations, SGSS shall make every effort to inform the

### 5.4.2. Instructions for the exercise of options and the immediate disposal of shares

The exercise of options is conditional on the execution of the sale on the stock market of the subscribed shares so that the Account Holder does not have to advance the amount of the exercise. In the event of a split selling order, the option exercise is divided up in proportion to the quantities sold. However, this procedure may only be envisaged where transfer of ownership of the shares subscribed or acquired in favour of the Account Holder is carried out no later than the same day as the sale of the shares.

Payment of the exercise is made by offsetting the claim represented by the net proceeds of the sale after applying any expenses, taxes, and levies related to the exercise and trading (as defined in article 3.3 "Pricing") and, where applicable, if the sale is executed within the fiscal holding period, any French social security contributions due have been deducted.

If an event not attributable to SGSS prevents the exercise of options without the sale on the stock market being able to be cancelled, in accordance with the applicable regulations, SGSS shall carry out the redemption of securities and the conclusion of the sale in place of the Account Holder. If the proceeds from the sale are less than the cost of the redemption of the securities, the Account Holder undertakes to compensate SGSS, upon presentation of supporting documentation, for all expenses, penalties, or damages that SGSS incurs as a result.

## 5.5. OTHER SECURITIES TRANSACTIONS

For any other securities transaction, the Account Holder must consult SGSS departments beforehand (Article 19 "Account Holder Relations") in order to obtain the specific forms to be used.

Account Holder of the transactions affecting the Account Holder's securities, within amounts of time allowing the Account Holder to return instructions.

However, it is specified that:

- SGSS accepts no responsibility in the event of a delay or omission in the delivery of information or instructions be attributable to the postal services, issuing companies, centralising establishments, or French or foreign central depositories,
- local regulations may restrict or prohibit communication and/or participation in certain transactions. As part of a corporate action, it is the Account Holder's responsibility to comply with the local regulations applicable thereto,
- as part of an employee share ownership plan, all or part of the information may be transmitted through the employer, according to the arrangements made with the employer.

### 6.2.1. Online service - Account Holders concerned

The issuing company may have asked SGSS to set up an information and transmission service for certain corporate actions via the SHARINBOX website. In this case, the Account Holder, especially if the Account Holder is domiciled outside of France, is invited to

# REGISTERED SECURITIES ACCOUNT AGREEMENT ORDER-TAKING AGREEMENT

## GENERAL TERMS AND CONDITIONS

consult this online service in order to limit the risks related to a delay or non-delivery of letters by mail.

Otherwise, if the online service is not offered, the Account Holder is invited to transmit instructions according to the procedures set out in article 11 "Absence or interruption of online or telephone services".

This service is not open to Account Holders of accounts with special operating terms, namely: accounts of legally protected or represented persons, joint-ownership accounts and split accounts (usufruct and reversionary-ownership).

### 6.2.2. Information notices intended for the Account Holder

The information communicated to the Account Holder in application of this agreement is limited to events affecting the rights attached to the securities (for example, right to participate in a capital increase, a public offering for purchase, exchange, or withdrawal), excluding any event affecting the life of the issuing company.

In particular, the regulations in force do not require SGSS to warn Account Holders in the event of class action, administration, or court-supervised winding-up affecting the issuing company.

### 6.2.3. Execution of the Account Holder's instructions

The Account Holder's instructions must be sent to SGSS according to the procedures indicated in the information

sent by mail or made available on the SHARINBOX website where applicable.

If SGSS does not receive any instructions in the stipulated time periods and forms, it will not substitute for the Account Holder in order to participate in the transaction.

When several options are proposed in connection with a corporate action, the Account Holder is informed that the issuing company may have provided for a default option. In this case, SGSS is not responsible for the default option applied in the absence of instructions received from the Account Holder.

## 7. GENERAL MEETINGS

Notices of general meetings of the issuing company are sent to the Holder of the "pure registered" securities accounts by mail or, where applicable, electronically.

## 8. NOTIFICATION OF THE HOLDER

The issuing company may have requested the establishment of a service to make notices of operation and statements available on the SHARINBOX website. In order to verify whether the Account Holder can benefit from this service and learn about the terms of the service, the Account Holder is invited to consult the "online statements" section of the SHARINBOX website. If the service is not established or there is no subscription to this service, the documents referred to in this article will be sent to the Account Holder by mail.

### 8.1. STOCK MARKET OPERATION NOTICE

Within one business day of becoming aware of the execution of an order, SGSS will inform the Account Holder by way of an operation notice stating the quantity of traded securities, the date and time of execution, the market on which the order was executed, the execution price, any related commission, taxes, and levies, and the net amount owed to the Account Holder (or the amount to be paid to SGSS in the case of a purchase).

Claims related to operations appearing on a notice must be reported within a period of 3 (three) days after it is received or, where applicable, made available on the SHARINBOX website.

### 8.2. OTHER INFORMATION

SGSS shall send to the Account Holder:

- a notice when new securities or a payment of revenues is recorded in the account or when any operation is recorded in the securities account,
- an annual statement of the securities recorded in the account at 31 December,
- a summary annual statement of transactions on securities and investment income as well as realised capital gains or losses, to be reported to the tax authorities (this is the Single Tax Form or "IFU").

In accordance with the law, SGSS shall send a copy of the IFU to the tax authorities.

## 9. TAXATION

### 9.1. GENERAL INFORMATION

The tax information appearing below is given to natural person Account Holders for information purposes based on the French regulations in force as at 1 November 2020.

**Note:** if you are subject to or wish to benefit from a specific tax system (for example, French non-resident for tax purposes, exemption from mandatory deductions for advance payments on interest and/or dividends, etc.), we invite you to immediately contact SGSS using

# REGISTERED SECURITIES ACCOUNT AGREEMENT ORDER-TAKING AGREEMENT

## GENERAL TERMS AND CONDITIONS

the contact information appearing in article 19 "Account Holder Relations" so that you can be informed of the supporting documents required by the applicable regulations that you will need to supply to SGSS. This system will be taken into account as soon as possible after receipt by SGSS of the required supporting documents, subject to their examination and the rules stipulated by the applicable regulations.

### 9.2. FRENCH RESIDENT FOR TAX PURPOSES

Payments owed to Account Holders are paid to them less any withholding or deduction at source provided for by the regulations in force (social security contributions in particular). Where applicable, they may entitle the Account Holder to a tax credit.

### 9.3. FRENCH NON-RESIDENT FOR TAX PURPOSES

Payments owed to Account Holders are paid to them after deduction, where applicable, of the withholding at source provided for by the regulations. Upon the Account Holder's request and based on the international tax agreements and upon production of supporting documents, SGSS may, in certain cases, initiate procedures to reduce or recover taxes deducted at the source (consult us).

### 9.4. "QUALIFIED INTERMEDIARY" ("QI") REGULATIONS

In application of the QI regulations, an Account Holder who is a US taxpayer as defined by US legislation and wishes to hold US securities must, in addition to this agreement, complete and submit to SGSS the most recent US "Form W-9" on which the Account Holder's US tax ID number (TIN) must be indicated.

Otherwise, the Account Holder will not be authorised to hold securities issued by an issuing company whose registered office is located within the territory of the

United States whose revenues would be reportable under the QI regulations. In the event that the Account Holder holds such securities without having previously submitted the US "Form W-9", for example following a change in residence for tax purposes, the Account Holder expressly and irrevocably appoints SGSS to carry out the sale of said securities.

All costs and any taxes related to this sale, regardless of the nature and the source, will be borne by the Account Holder.

SGSS may not be held responsible for the consequences related to these sales carried out on behalf of the Account Holder and in order to comply with US regulations applicable in France by SGSS because of the entry into the qualified intermediary contract with the US tax authorities.

### 9.5. WITHHOLDING AT THE SOURCE ON EMPLOYEE SHARE OWNERSHIP PLANS

Under French regulations, gains from the exercise of stock options or acquisition of bonus shares, as part of qualified or non-qualified plans under the French Commercial Code, are subject to withholding at source.

In addition, this deduction at the source pertains to gains from exercising warrants for subscription to business creator shares as well as "unnamed" plans.

This withholding applies to all beneficiaries not domiciled in France for tax purposes on the date of the disposal or the recording of securities in the account, according to the case, who engaged in an activity in France as a salaried employee or director of a company during the vesting period of the securities.

# REGISTERED SECURITIES ACCOUNT AGREEMENT ORDER-TAKING AGREEMENT

GENERAL TERMS AND CONDITIONS

## 10. ONLINE SERVICE MESSAGING SYSTEM

The SHARINBOX website permits the exchange of messages between the Account Holder and SGSS. Questions submitted are answered as promptly as possible.

**Note:** the messaging service does not allow the routing of stock market orders, instructions to exercise options or corporate actions: the Account Holder is advised that any instruction transmitted by this method will not be taken into account.

## 11. ABSENCE OR INTERRUPTION OF ONLINE OR TELEPHONE SERVICES

In case of interruption of the online and/or telephone service for any reason whatsoever, or if the issuing company does not offer the transmission of instructions through these services, the Account Holder must contact and transmit its instructions to SGSS using the contact information indicated in article 19 "Account Holder Relations".

**Note:** stock exchange and option exercise orders by mail or fax must be sent using the specific forms prepared by SGSS, available upon request.

To avoid any risk of confusion, the Account Holder is asked not to send written confirmation of an order sent by fax.

## 12. TERM - CLOSURE OF THE REGISTERED THE SECURITIES ACCOUNT

### 12.1. GENERAL INFORMATION

This agreement is entered into for an indefinite term. It will be terminated and the securities account will be closed upon written request from the Account Holder or SGSS with prior notice of one month:

- provided that all of the securities previously recorded in the securities account are transferred or disposed of;
- and, in case of an employee share ownership plan, subject to the terms of said plan.

In addition, the agreement will be automatically terminated and the securities account will be closed in case of termination of the mandate entrusted by the issuing company to SGSS for the administration of this plan or the maintenance of the register. Similarly, in order to comply with the requirements arising from personal data protection regulations, SGSS will be required to close the Account Holder's securities account when no securities are registered in this securities account for five consecutive years. Account closure information will be confirmed by message available on the SHARINBOX website.

### 12.2. DEATH OF THE ACCOUNT HOLDER OR DISSOLUTION

The death (natural person) or dissolution (legal entity) of an Account Holder or co-Account Holder of a securities account does not result in the closing of the securities account, but it is frozen. The closing occurs, after the liquidation transactions, upon receipt of the instructions for transfer or disposal of securities by the authorised persons (solicitor, successors, administrator, receiver).

#### Special feature of the joint account:

The death of one of the co-Account Holders does not cause the securities account to be frozen. It continues to operate with the signature of the surviving co-Account Holder, unless objected to by the solicitor in charge of probate or by an heir able to prove their hereditary entitlement by registered letter with acknowledgement of receipt.

## 13. PROFESSIONAL SECRECY

SGSS is subject to professional secrecy in accordance with the provisions of the French Monetary and Financial Code. This secrecy may be waived at the express request of the Account Holder exclusively for the people indicated in writing or, in accordance with the law, at the request of regulatory, tax or customs authorities or at the request of a judge in a criminal court.

By signing this agreement, the Account Holder expressly authorises SGSS to use the information about the Account Holder's identity, securities, address, and residence for tax purposes and communicate it:

- to the issuing company and, where applicable, to its subsidiaries involved within the framework of an employee share ownership plan,
- to any intermediary whose involvement is necessary for the execution of orders, the processing of

transactions, or the administration of the account,

- to legal entities of the Societe Generale Group and its subcontractors, brokers and insurers. All measures are taken by SGSS to ensure the confidentiality of the transmitted information and respect for the conflict of interest management policy set out in article 16 "Conflict of interest management policy",
- to the French judicial, administrative, or tax authorities who request it in accordance with the applicable regulations. The Account Holder is informed that these authorities may impose obligations on SGSS that cannot be avoided. If the information is not communicated, the Account Holder is warned that these authorities may impose sanctions and particularly decide on the automatic

**REGISTERED SECURITIES ACCOUNT AGREEMENT  
ORDER-TAKING AGREEMENT**

---

GENERAL TERMS AND CONDITIONS

sale of the securities. SGSS may not be held responsible in this regard in the event of the

Account Holder's refusal to communicate the requested information.

## 14. PROTECTION OF PERSONAL DATA

In connection with the execution of the mandate entrusted by the issuing company and with this Agreement, SGSS is required to process, as data controller, personal data relating to the Account Holder. In this respect, Societe Generale undertakes to fulfil all of the obligations incumbent upon it in accordance with the regulations applicable to the processing of personal data and in particular EU regulation 2016/679 applicable as at 25 May 2018 (GDPR).

### 14.1. CATEGORIES OF PERSONAL DATA

SGSS collects and processes the following categories of personal data:

- marital status data and identification data: surname, first name(s), gender, date of birth, copies of identity documents, examples of signatures, etc.;
- contact details: postal addresses, e-mails, telephone numbers, etc.;
- data related to your personal situation: family situation, marital status, etc.;
- data related to your professional situation: position held, name of employer, place of work, etc.;
- economic and financial information: financial and tax situation, etc.;
- transaction data (nature of the transactions, date, payments, number of securities, amount, description, etc.);
- login data related to the use of SHARINBOX: identification and authentication data to your connected spaces, logs, cookies, browsing data, etc.;
- data from correspondence and communications between you and us, particularly via the NOMLIA voice server.

### 14.2. PURPOSES OF PROCESSING

The personal data thus collected or generated will be used by SGSS for:

- the correct execution of the services provided and to ensure the security and reliability of the services offered. Such personal data may be kept for 5 years from the end of this Agreement.
- to enable fulfilment of the statutory obligations and, where applicable, the tax obligations of Societe Generale, in particular in terms of operational risk management, compliance with rules relating to financial markets, fulfilment of obligations relating to keeping accounts documents, the prevention of fraud or the prevention of money laundering and the financing of terrorism. Such personal data may also be kept for up to 10 years from the end of this Agreement.
- recording telephone conversations and communications, irrespective of the medium used, in order to improve the telephone service, the security of the transactions conducted or to satisfy regulatory requirements. Depending on the cases, personal data may be kept for five years, which may be extended to a maximum of seven years from the time of recording.
- if the issuing company has entrusted this service to Societe Generale, where applicable electronically, proceed to organise the elections of directors representing shareholder employees. Personal data may be retained for a period of three (3) years as from the General Meeting of Shareholders during which the said director will be appointed.

This data is not used by SGSS for commercial purposes, unless specifically agreed by the Account Holder.

These personal data processed for the above purposes are necessary for execution of the Agreement, to fulfilment of a statutory obligation or to furthering the legitimate interests of Societe Generale, whilst respecting fundamental freedoms and rights.

This personal data may be retained for the period necessary to achieve the purpose for which the data was collected. The data will then be deleted. As an exception, this data may be archived to manage ongoing claims and disputes as well as to fulfil the legal and/or regulatory obligations of Societe Generale and/or to answer the requests of the authorities competent to make such requests.

### 14.3. COMMUNICATION TO THIRD PARTIES

For the proper performance of this Agreement and in particular for desktop publishing purposes, the data may be communicated to any third party selected on the basis of their expertise to the extent necessary to perform the tasks entrusted to the same.

Moreover, the personal data may also be communicated to other legal entities of the Societe Generale Group, insofar as necessary to achieve the purposes described above.

### 14.4. TRANSFERS OF PERSONAL DATA OUTSIDE OF THE EUROPEAN UNION

For the proper performance of the services subject matter of the Agreement and in particular in connection with the pooling of operational resources, computer maintenance operations, measures intended to guarantee the security of the computer networks or transfers of data to third parties, the processing actions referred to above may involve transfers of personal data to countries which are not members of the European Economic Area whose personal data protection laws are different from those of the European Union.

In this case, in particular when the transfer is made to a country that has not received an adequacy decision from the European Commission, a specific and demanding framework consistent with the models adopted by the European Commission is applied by Societe Generale in addition to the appropriate security measures in order to ensure the protection of the transferred personal data.

The transfers of personal data in question are conducted under conditions and guarantees intended to ensure the confidentiality and security of this data. For this purpose, Societe Generale implements all appropriate technical and organisational measures to ensure the security of the personal data which may also be communicated to the competent official bodies and administrative and judicial authorities of the country in question, particular in the context of anti-money laundering, counter-terrorism financing and anti-fraud activities and to ensure the successful processing of tax-related information.

### 14.5. RIGHTS OF THE ACCOUNT HOLDER

All Account Holders have a right of access, rectification, erasure, restriction of processing as well as portability of their data. All natural persons may object at any time for reasons having to do with their specific situation to the processing of this personal data. It is noted that the exercise of some of these rights may on a case by case basis make it impossible for Societe Generale to provide the service.

The Account Holder's rights may be exercised using the contact information mentioned in article 19 "Account Holder Relations".

All natural person account holders are also entitled to lodge a complaint with the *Commission Nationale de l'Informatique et des Libertés* (French National Information Data Protection Watchdog - CNIL), the supervisory authority responsible for ensuring compliance with personal data requirements.

# REGISTERED SECURITIES ACCOUNT AGREEMENT ORDER-TAKING AGREEMENT

GENERAL TERMS AND CONDITIONS

## 15. ANTI-MONEY LAUNDERING AND THE COUNTER-FINANCING OF TERRORISM

In application of the regulations applicable to the fight against money laundering and the financing of terrorist activities, SGSS has an obligation to verify the identity of the Account Holder or the beneficiary of record and to contact this person to inquire about all transactions that appear unusual to SGSS

particularly because of their methods, amount, or exceptional nature in light of those previously processed by the Account Holder. The Account Holder undertakes to respond promptly to any requests made by SGSS in this regard.

## 16. CONFLICT OF INTEREST MANAGEMENT POLICY

SGSS has established and maintains a policy for managing conflicts of interest concerning its own activities and taking into account its membership of the Societe Generale Group.

This policy groups together the various measures and procedures that have been established in order to detect situations of conflicts of interest arising during its delivery of investment services that could be harmful to the interests of its Account Holders.

This particularly involves conflicts of interest that could occur between its direct and indirect employees and its Account Holders, or even between two of its Account Holders, during the provision of investment services.

In particular, SGSS has implemented procedures:

- in terms of provisions applicable to remuneration,
- pertaining to transactions made by its employees including on personal transactions of some of its employees,

- establishing a watch list that lists the issuing companies on which Societe Generale holds insider information,
- conducive to maintaining the confidentiality of information, procedures commonly called "information barriers". They prevent undue circulation of confidential or privileged information among the group's various departments.

Nevertheless, if it appears that these measures and procedures are not sufficient to avoid, with reasonable certainty, the risk of harming the interests of an Account Holder, SGSS shall inform the Account Holder, clearly and in a sufficiently detailed manner, before acting in the Account Holder's name, of the general nature or the source of these conflicts of interest so that the Account Holder can take an informed decision.

## 17. LIABILITY

Within the framework of the provision of the services provided for by this agreement, SGSS is subject to the rules of good conduct appearing in the French Financial and Monetary Code and the general regulations of the AMF. As such, SGSS acts in an honest, loyal, and professional manner best serving the interests of the Account Holder.

The responsibility of SGSS, which is limited to direct damages, may only be sought if it is established that it was at fault in cause these damages, with the understanding that the error of a third party or the Account Holder having contributed to the occurrence of the harm could partially or totally discharge SGSS from responsibility.

SGSS is not responsible when the breach of its obligations result from a case of force majeure, as defined by the case-law of the French Courts and

Tribunals. SGSS is subject to an obligation of means with regard to the reception and issuance of information and orders. The Account Holder acknowledges the risks involved in placing orders by internet, telephone, fax and mail. The Account Holder therefore discharges SGSS of any consequences arising from the use of these means, particularly those resulting from insufficient or unclear instructions, as well of any fraudulent or abusive use therein, except in the case of an error or negligence by SGSS.

SGSS assumes no responsibility with regard to the transport of information, particularly in the event of interruption or failure - external to SGSS - of the means of communication (mail, telephone, fax, internet) or terminals of the Account Holder (telephone, fax, computer).

## 18. PROOF

SGSS and the Account Holder expressly agree that the entry of login information on the SHARINBOX website or their authentication code, generated after connection to the SHARINBOX website, on the NOMILIA voice server, will constitute an electronic signature of the Account Holder, thus permitting the Account Holder's identification and proving the Account Holder's consent to the transactions carried out and the attribution of these transactions to the Account Holder or to the Account Holder.

As part of the development of online product or service offerings, it is also agreed between SGSS and the Account Holder that the latter's entry of login information will serve as an electronic signature, in particular for the online signature of contractual documents (online forms, general terms and conditions, etc.) for products or services offered by SGSS, as well as products or services offered by Societe Generale Group companies where applicable.

**REGISTERED SECURITIES ACCOUNT AGREEMENT  
ORDER-TAKING AGREEMENT**

---

GENERAL TERMS AND CONDITIONS

# REGISTERED SECURITIES ACCOUNT AGREEMENT ORDER-TAKING AGREEMENT

GENERAL TERMS AND CONDITIONS

## 19. ACCOUNT HOLDER RELATIONS

The Account Holder may contact SGSS departments by:

- Telephone: +33 (0)2 51 85 67 89 (standard-rate number billed according to the carrier contract and the call's country of origin)
- Fax:  
+33 (0)2 51 85 53 42 to send your stock market orders (you must use a specific form available on request)  
+33 (0)2 51 85 62 15 for any information
- Mailing address:  
Société Générale Securities Services  
SGSS/SBO/ISS/CLI/NAN  
32 rue du champ de tir CS 30812  
44308 Nantes Cedex 3 - France

In the event of any problem, dissatisfaction, or disagreement:

SGSS undertakes to review and respond to each request from the Account Holder.

SGSS wishes to provide the best service quality on an ongoing basis. However, the Account Holder may encounter difficulties in the operation of their account or in the use of the services made available thereto. SGSS undertakes to acknowledge receipt of requests within 10 business days and provide a response within a maximum legal period of two months.

The Account Holder must first report their difficulties by any means at their disposal:

- By telephone at +33 (0)2 51 85 67 89 (standard-rate number billed according to the carrier contract and the call's country of origin)
- On the website [www.sharinbox.societegenerale.com](http://www.sharinbox.societegenerale.com) in the "My messages - Message service" section, by choosing the "Other" topic and the "Complaint" sub-topic when writing their message.
- By fax to +33 (0)2 51 85 62 15
- By letter to the following address:  
Société Générale Securities Services  
SGSS/SBO/ISS/CLI/NAN  
Shareholder Relations Department  
32, rue du champ de tir CS 30812  
44308 Nantes Cedex 3 - France

## 20. GOVERNING LAW

This agreement is subject to French law. However, recall that Account Holders must comply with the legal and regulatory obligations applicable thereto,

As a last resort, if a disagreement persists following the response provided by our departments, the Account Holder may refer the matter to the Mediator at Societe Generale or the Ombudsman at Autorité des Marchés Financiers (French Financial Markets Authority) free of charge, with their choice being final for this dispute.

The Mediators undertake to examine cases in view of the Account Holder's position and the bank's position, assess the arguments of the parties, and take a decision based on fairness. The Mediators respond directly to the Account Holder within a maximum legal period of two months.

Societe Generale Mediator:

By post:

**The Mediator at Societe Generale  
17 Cours Valmy  
92987 PARIS LA DEFENSE CEDEX 7 - France**

The AMF Ombudsman:

By electronic form downloadable  
on the AMF's website:  
[www.amf-france.org](http://www.amf-france.org) The Ombudsman

By post:

**The Ombudsman - Autorité des Marchés Financiers  
17 place de la Bourse  
75082 PARIS CEDEX 02**

The Account Holder also retains the option of making use of legal remedies available thereto, if it is not satisfied with the response provided by SGSS or the outcome of a mediation.

The Account Holder may also contact the data protection officer, using the following e-mail address:  
[Sg-Protection.Donnees@socgen.com](mailto:Sg-Protection.Donnees@socgen.com).

## 21. LEGAL NOTICES

Societe Generale is a lending institution under French law authorised by the *Autorité de Contrôle Prudenciel et de Résolution* (French Prudential Control and Resolution Authority - ACPR, 61, rue Taitbout 75 436 Paris Cedex 09) and supervised by said authority together with the *Autorité des Marchés Financiers*

(French Financial Market Authority) in France to act as a credit institution and investment firm (hereinafter "Investment Services Provider"), entitled to carry out all banking transactions and provide all investment services with the exception of the investment service for operation of Multilateral Trading Facilities, in

**REGISTERED SECURITIES ACCOUNT AGREEMENT  
ORDER-TAKING AGREEMENT**

---

GENERAL TERMS AND CONDITIONS

accordance with the provisions of the French Monetary  
and Financial Code.

# REGISTERED SECURITIES ACCOUNT AGREEMENT ORDER-TAKING AGREEMENT

GENERAL TERMS AND CONDITIONS

## APPENDIX 1 - ORDER EXECUTION AND TRADER SELECTION POLICY

This document summarises and specifies the provisions of Societe Generale's global best execution policy applicable to clients of the Societe Generale Group's distribution networks and holders of securities registered in "pure registered" form. The global best execution policy, which concerns all clients (professional and retail) of the various Societe Generale Group entities concerned (head office, branches) and holders of securities registered in "pure registered" form, is available and updated periodically in the stock market space, "Help" page, General Terms and Conditions section of the Societe Generale website: <https://particuliers.societegenerale.fr/>.

Societe Generale has drawn up this order execution and trader selection policy in order to provide clients and account holders with the best possible result when executing their orders. Societe Generale acts with all the necessary competence, care and diligence in the execution of orders and in the selection and appointment of the traders to whom it is likely to entrust their execution.

Societe Generale takes all appropriate measures to obtain, in most cases and on the basis of the criteria defined below, the best possible result when processing and executing orders, for which it itself ensures the execution. For orders sent for execution to other traders, Societe Generale selects only traders who commit themselves to the same terms and have order execution mechanisms that enable the best possible result to be obtained for clients and account holders.

### Securities in euro listed for trading on the Euronext Paris, Amsterdam and Brussels markets

#### **Participants and execution venues**

Upon receipt of an order from an Account Holder concerning a Security listed for trading on the Euronext Paris, Amsterdam and Brussels markets (the "markets"), listed in euro, the order is immediately recorded by Societe Generale, and then:

1. executed by Societe Generale in the execution venues mentioned below, Societe Generale acting as trader; or
2. sent to another trader for execution on these same execution platforms, with Societe Generale acting as receiver-transmitter of orders ("RTO").

For financial securities listed in euro, regardless of their category, the main markets in which the aforementioned traders operate are:

- the regulated markets of Euronext Paris, Amsterdam and Brussels,
- the Euronext Growth Paris, Amsterdam and Brussels markets (formerly Alternext), and
- the Euronext Access Paris and Brussels markets (formerly the Free Market).

Traders are also likely to trade on other execution platforms, including other regulated markets or multilateral trading facilities.

#### **Involvement of Societe Generale as a trader**

Societe Generale takes all appropriate measures to obtain the best possible result, taking into account the following factors:

- mainly, the Total Price (as defined below),
- secondarily, the speed and probability of execution of the order.

The total price means the price of the financial instrument, plus the various costs relating to execution of the order, including costs applicable at the place of execution, clearing and settlement expenses and all other costs which may be paid to third parties involved in the execution of the order (the "Total Price").

Societe Generale's global best execution policy, available in the stock market space, on the "Help" page, under the Traders and Order Execution section of Societe Generale's website: [https://particuliers.societegenerale.fr/index.html, states:](https://particuliers.societegenerale.fr/index.html,states:)

- the list of criteria used by Societe Generale to select an execution platform and the relative importance of each factor; and
- the manner in which execution factors such as prices, costs, speed and probability of execution, as well as any other relevant factors, are taken into account in the definition of all appropriate measures in order to obtain the best possible result for the Account Holder.

The most recent data on the quality of execution published, in particular the ranking of the top five execution venues of the previous year, are available in the Branch or in the stock market space, on the "Help" page, in the Traders and Order Execution section of Societe Generale's website: <https://particuliers.societegenerale.fr/index.html>.

#### **Societe Generale's role as a receiver-transmitter of orders**

The list of traders to whom Societe Generale may entrust the execution of Account Holders' orders is as follows:

Country	Trader	Market / Special feature
France Belgium The Netherlands	Gilbert Dupont	Euronext Markets: - Euronext - Euronext Growth - Euronext Access
	Oddo	

Societe Generale has selected these traders to whom the Account Holders' orders are likely to be sent because they take all appropriate measures to obtain the best possible result, given the following factors:

- mainly, the Total Price,
- secondarily, the speed and probability of execution of the order.

Societe Generale publishes information on the selected traders, in particular the ranking of the top five traders in terms of trading volume of the previous year, in the stock market space, on the "Help" page, in the Traders and order execution section of the Societe Generale website: <https://particuliers.societegenerale.fr/index.html>. This information is also available on request at the Branch.

### Securities admitted exclusively for trading on markets other than Euronext Paris, Amsterdam and Brussels and/or listed in currencies other than the euro

Upon receipt of an order from the Account Holder concerning a security admitted exclusively for trading on other markets and/or listed in a currency other than the euro, the order is immediately recorded by Societe Generale and sent as soon as possible to a trader; the

**REGISTERED SECURITIES ACCOUNT AGREEMENT  
ORDER-TAKING AGREEMENT**

GENERAL TERMS AND CONDITIONS

list of traders by country and category of financial instrument is shown below.

Country	Trader	Market / Special feature
<b>Equities, ETFs, Warrants</b>		
South Africa	KBC	
	Deutsche Bank	
Germany	Deutsche Bank	XETRA Other markets
	KBC	XETRA Other markets
Australia	KBC	
	Deutsche Bank	
Austria	Deutsche Bank	
	KBC	
Belgium	KBC	Euronext Brussels with foreign exchange listing
	Deutsche Bank	
Brazil	Santander Central Hispano	
Canada	Deutsche Bank	
	KBC	
Chile	Santander Central Hispano	
Ivory Coast	SGBCI	Only for sale
Denmark	Deutsche Bank	
	KBC	
Spain	Deutsche Bank	
	KBC	
Finland	Deutsche Bank	
	KBC	
France	Gilbert Dupont	Euronext Paris with foreign currency listing
	Deutsche Bank	
Greece	Deutsche Bank	
	KBC	
Hong Kong	Deutsche Bank	
	KBC	
Hungary	KBC	
	Deutsche Bank	
Ireland	KBC	
	Deutsche Bank	
Italy	Deutsche Bank	
	KBC	
Japan	Deutsche Bank	
	KBC	
Luxembourg	SGBT	
	KBC	
Morocco	Societe Generale Marocaine de Banques	
Mexico	KBC	
Mauritius	MCB	
Norway	Deutsche Bank	
	KBC	
The Netherlands	KBC	Euronext Amsterdam with foreign exchange listing
	Deutsche Bank	
Peru	Santander Central Hispano	
Poland	Deutsche Bank	
	KBC	
Portugal	Deutsche Bank	
	KBC	
Czech Republic	Deutsche Bank	
	KBC	
United Kingdom	KBC	
	Deutsche Bank	
Singapore	Deutsche Bank	

Country	Trader	Market / Special feature
	KBC	
Slovenia	KBC	
Sweden	Deutsche Bank	
	KBC	
Switzerland	Deutsche Bank	
	KBC	
Tunisia	UIB	
USA	Deutsche Bank	
	KBC	
<b>Rights</b>		
All countries covered by the broker	Deutsche Bank	
<b>Bonds</b>		
All countries covered by the broker	KBC	
	Gilbert Dupont	
	Charles Stanley	
	SGBT	
<b>US Mutual Fund</b>		
All countries covered by the broker	BBH	

Societe Generale has selected these traders as they take all appropriate measures to obtain the best possible result, taking into account all the following criteria:

- the Total Price,
- security,
- the speed and probability of execution of the order.

The Total Price is not always decisive, as security must take priority on certain markets in order to ensure proper execution and settlement of the transaction.

By signing the Securities Account Agreement - Order-Taking Agreement, the Account Holder declares to be informed and expressly accepts that, as part of their search for the best possible result, the selected traders may need to execute the Account Holder's orders outside of a regulated market or multilateral trading facility, in execution venues that may present additional risks such as counterparty risk or the absence of order books.

Societe Generale publishes information on the selected traders, in particular the ranking of the top five traders in terms of trading volume of the previous year, in the stock market space, on the "Help" page, in the Traders and order execution section of the Societe Generale website:  
<https://particuliers.societegenerale.fr/index.html>.  
This data is also available on request at the Branch.

The Account Holder is informed and expressly accepts that the selected traders may not, where applicable, make public the Account Holder's limit price orders not immediately executed in the order book pertaining to shares admitted for trading on a regulated market.

**Implementation and modification of the order execution and trader selection policy**

Whenever so requested, Societe Generale will provide the necessary elements certifying that it properly transmitted the Account Holder's order in compliance with its execution and selection policy.

Societe Generale reviews its order execution and trader selection policy on an annual basis.

It further undertakes to review this policy more frequently in the event of a substantial modification

# REGISTERED SECURITIES ACCOUNT AGREEMENT ORDER-TAKING AGREEMENT

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## GENERAL TERMS AND CONDITIONS

likely to affect its ability to continue to regularly obtain the best possible result in the execution of the orders of its clients and holders of securities registered in "pure registered" form (for example, creation of a new market which very rapidly captures a large proportion of liquidity on securities traded on behalf of clients, or, conversely, a sharp fall in liquidity on a market trading the same financial instruments).

The Account Holder shall be informed of any substantial changes to the order execution and trader selection policy by any means.

In the event of changes to the order execution and trader selection policy, the version made available to the

Account Holder on the SHARINBOX website or upon request shall prevail.

### Processing of specific instructions

Account Holders are hereby informed that if their order contains a specific instruction, such as execution of the order on a specific market, Societe Generale cannot apply the policy described above in order to obtain the best possible result.

As a result, Societe Generale or the trader selected thereby will respect the best execution principles by executing the order or a precise aspect of the order according to the specific instructions given by the Account Holder concerning the order or the precise aspect of the order.

# REGISTERED SECURITIES ACCOUNT AGREEMENT ORDER-TAKING AGREEMENT

GENERAL TERMS AND CONDITIONS

## APPENDIX 2: LIST OF DOCUMENTS AND SUPPORTING DOCUMENTS ADMISSIBLE WHEN THE ACCOUNT IS OPENED AND FOR ANY CHANGE DURING THE BUSINESS RELATIONSHIP

### 1. NATURAL PERSONS

#### TYPE OF UPDATE

#### ADMISSIBLE SUPPORTING DOCUMENTS

	<p>A copy of a <b>valid official identity</b> document, from one of the following documents:</p> <ul style="list-style-type: none"><li>• national identity card (front/back copy).</li><li>• stay permit.</li><li>• residence permit.</li><li>• passport (copy of the first four pages).</li><li>• driving licence.</li></ul> <p>Copy of additional identity document:</p>
<b>Civil status</b> (surname, first name, date and place of birth) <b>when the account is opened</b>	<p>Either an identity document from the list above, which is not identical to the first document provided.</p> <p>Either one of the following documents:</p> <ul style="list-style-type: none"><li>• latest tax assessment notice issued by the French tax authorities as soon as the financial institution ensures its authenticity and thus obtains, in addition to the Account Holder's first and last names, their date of birth.</li><li>• Carte Vitale (health insurance card)<sup>1</sup>.</li><li>• payslip<sup>1</sup>.</li><li>• family record book.</li><li>• extract from the civil register of the country of origin (birth certificate).</li><li>• any other document issued by the consular authorities of the Account Holder's country of origin.</li></ul>
	<p>During the business relationship, in the event of a change in surname, first name(s), or gender:</p> <ul style="list-style-type: none"><li>• a copy of a valid identity document containing updates (<i>refer to the list of official identity documents accepted in the box above</i>).</li></ul>
<b>Civil status during the business relationship</b> (Change of surname, first name(s), or gender)	<p>Or, as applicable, a copy of one of the documents listed below:</p> <ul style="list-style-type: none"><li>• marriage certificate.</li><li>• family record book (with mention of marriage).</li><li>• divorce judgement.</li><li>• judgement of the Court of First Instance concerning simple adoption and replacement of the adopted person's name.</li><li>• amended birth certificate.</li><li>• decree concerning the <i>change of name</i> published in the Official Journal.</li></ul>
	<p>Copy of one of the documents below mentioning the Account Holder's <u>exact home address and bearing the Account Holder's surname</u>:</p> <ul style="list-style-type: none"><li>• electricity, water or gas bill.</li><li>• landline telephone bill, or internet with landline telephone bill, or mobile phone bill.</li><li>• rent receipt.</li><li>• ownership deeds.</li><li>• copy of the most recent tax assessment or tax exemption notice.</li><li>• property tax notice.</li><li>• land tax notice.</li><li>• certificate of tax residence (for Italian or German Account Holders)</li><li>• housing insurance certificate: comprehensive home for main residence, rental liability insurance certificate.</li><li>• statement of social security benefits.</li><li>• address on the identity document (<i>if it was issued less than one year ago</i>).</li><li>• confirmation from the town hall.</li><li>• embassy certificate.</li><li>• confirmation from the employer in the event of expatriation.</li></ul> <p><b><u>The supporting document must be dated less than one year ago.</u></b></p>

<sup>1</sup> Subject to concealing the components of the social security number that are not required to verify identity (conceal the last six digits and the key) when taking a copy of the document, in accordance with personal data protection regulations.

# REGISTERED SECURITIES ACCOUNT AGREEMENT ORDER-TAKING AGREEMENT

GENERAL TERMS AND CONDITIONS

**Address for tax purposes**  
(if different from home address)

- confirmation of residence for tax purposes certified by your supervisory tax authorities in your new country,
- copy of your income tax notice dated less than 6 months ago,
- confirmation from the Non-Resident Tax Centre (DGFiP, in France),
- confirmation from an authority other than a tax authority: a confirmation from the Consulate in the country, Embassy, employer, or even a confirmation of inclusion in the register of French living abroad (or equivalent for other countries),
- sworn statement.

**Account details when the account is opened** (then for any change of bank account details)

**Proof of your bank details, with your first and last name, provided by your bank**, such as a **bank account identification document** (RIB). In order to be admissible, it must include the following data:

- The bank's international identifier: **BIC** (Bank Identifier Code) or SWIFT (Society for Worldwide Interbank Financial Telecommunication) code or the bank's name and address (if the bank does not have a BIC/SWIFT code).
- **IBAN** (International Bank Account Number) of the bank account if it is located in a country of the IBAN zone<sup>2</sup> or bank account number for other countries.
- The account's **currency**.

Specific characteristics:

- For **accounts opened in the United States**, the bank account number must be made up of the "ABA" code – or the routing code of your bank (9 characters) + account number.
- For **accounts opened in Australia**, the bank account number must be made up of the BSB code (6 characters) + account number (6 to 9 characters).
- For **accounts opened in Canada**, the bank account number must be made up of the bank code (3 characters) + your bank branch code (5 characters) + your account number.

**Signature**

**The registration form for the registered securities account agreement will be signed by the Account Holder(s) or their legal representative**

In order to meet its regulatory obligations, SGSS reserves the right to ask Account Holders for additional supporting documents or certification of the documents provided.

<sup>2</sup> Andorra, Austria, Azores, Balearic Islands, Belgium, Bosnia and Herzegovina, Bouvet Island, Bulgaria, Canary Islands, Croatia, Cyprus, Czech Republic, Denmark, Estonia, Faroe Islands, Finland, France, French Guyana, French Polynesia, French Southern and Antarctic Lands, Germany, Gibraltar, Greece, Greenland, Guadeloupe, Guernsey, Hungary, Iceland, Ireland, Isle of Man, Italy, Jersey, Latvia, Liechtenstein, Lithuania, Luxembourg, Macedonia, Madeira, Malta, Martinique, Mauritius, Mayotte, Monaco, Montenegro, Netherlands, New Caledonia, Norway, Poland, Portugal, Réunion, Romania, Saint Pierre and Miquelon, San Marino, Sardinia, Serbia, Sicily, Slovakia, Slovenia, Spain, Svalbard and Jan Mayen Islands, Sweden, Switzerland, Tunisia, Turkey, United Kingdom, Vatican City, and Wallis and Futuna.

# REGISTERED SECURITIES ACCOUNT AGREEMENT ORDER-TAKING AGREEMENT

GENERAL TERMS AND CONDITIONS

## 2. INDIVIDUAL ENTREPRENEUR

TYPE OF UPDATE	ADMISSIBLE SUPPORTING DOCUMENTS
<b>Civil status</b> (surname, first name, date and place of birth) <b>when the account is opened</b>	<p><u>A copy of a valid official identity document, from one of the following documents:</u></p> <ul style="list-style-type: none"><li>• national identity card (front/back copy).</li><li>• stay permit.</li><li>• residence permit.</li><li>• passport (copy of the first four pages).</li><li>• driving licence.</li></ul> <p><u>A copy of an official proof of identity of the individual company:</u></p> <ul style="list-style-type: none"><li>• extract from the official register (such as the K-bis) or extract from the Official Journal.</li></ul>
<b>Civil status during the business relationship</b> (Change of name, first name(s), or gender)	<p>During the business relationship, in the event of a change in surname, first name(s), or gender:</p> <ul style="list-style-type: none"><li>• a copy of a valid identity document containing updates (<i>refer to the list of official identity documents accepted when opening an account</i>).</li></ul> <p>Or, as applicable, a copy of one of the documents listed below:</p> <ul style="list-style-type: none"><li>• marriage certificate.</li><li>• family record book (with mention of marriage).</li><li>• divorce judgement.</li><li>• judgement of the Court of First Instance concerning simple adoption and replacement of the adopted person's name.</li><li>• amended birth certificate.</li><li>• decree concerning the <i>change of name</i> published in the Official Journal.</li></ul>
<b>Changes in the name of the individual company, its postal address, the address of its registered office or its legal nature</b>	<p><u>a copy of an official proof of identity of the individual company, notifying the changes:</u></p> <ul style="list-style-type: none"><li>• extract from the official register (such as the K-bis) or extract from the Official journal.</li></ul>
<b>Account details when the account is opened</b> (then for any change of bank account details)	<p><b>Proof of your bank details, provided by your bank, such as a bank account identification documents (RIB).</b> In order to be admissible, it must include the following data:</p> <ul style="list-style-type: none"><li>• The bank's international identifier: <b>BIC</b> (Bank Identifier Code) or SWIFT (Society for Worldwide Interbank Financial Telecommunication) code or the bank's name and address (if the bank does not have a BIC/SWIFT code).</li><li>• <b>IBAN</b> (International Bank Account Number) of the bank account if it is located in a country of the IBAN zone<sup>2</sup> or the bank account number for other countries.</li><li>• The account's <b>currency</b>.</li></ul> <p>If you are a merchant, micro-entrepreneur (whose turnover of more than €10,000 for two consecutive years) or an individual entrepreneur with limited liability (EIRL), <b>the bank details must be in the name of the individual company.</b></p> <p>If you are a craftsman, self-employed professional or farmer operating as a sole trader (other than a previously notified scheme), <b>the bank details may be in the name of the individual company or in your first and last name.</b></p>
<b>Signature</b>	<p><b>The registration form for the registered securities account agreement will be signed by the individual entrepreneur.</b></p>

In order to meet its regulatory obligations, SGSS reserves the right to ask Account Holders for additional supporting documents or certification of the documents provided.

# REGISTERED SECURITIES ACCOUNT AGREEMENT ORDER-TAKING AGREEMENT

GENERAL TERMS AND CONDITIONS

## 3. LEGAL ENTITIES

TYPE OF UPDATE	ADMISSIBLE SUPPORTING DOCUMENTS
<b>Commercial and Civil Companies</b>  <b>Opening of the account</b> ( <i>name, legal form, postal address, registered office address, list of directors and their representatives</i> )	<p><u>A copy of an official proof of identity of the legal entity:</u></p> <ul style="list-style-type: none"><li>In France: extract from the official register (such as the K-bis) or extract from the Official journal.</li><li>Outside France: certificate of registration/extract from the official or original certified register/signed legal documents necessary for the creation of a legal entity in the geographical area and/or the operating status of the account holder.</li></ul> <p><u>A copy of an additional supporting document:</u> Either an identity document from the list above, which is not identical to the first document provided. Or a document from the following list:</p> <ul style="list-style-type: none"><li>latest version of the company's articles of association.</li><li>minutes of general meetings.</li><li>proceedings of the board of directors or the executive board.</li><li>last register of shareholders (if company is not listed on a regulated market).</li><li>last tax return.</li><li>title of ownership.</li></ul> <p><u>Collection of powers for a trading company or civil company</u> (<i>all of the following documents</i>):</p> <ul style="list-style-type: none"><li>extract from the Official register.</li><li>company articles of association.</li><li>delegation of powers or collection of authorised signatures.</li><li>identity document<sup>3</sup> of the director and persons authorised to operate the securities account.</li></ul>
<b>Non-profit organisations</b>  <b>Opening of the account</b> ( <i>name, legal form, postal address, registered office address, list of directors and their representatives</i> )	<p><u>A copy of an official proof of identity of the legal entity:</u></p> <ul style="list-style-type: none"><li>In France for an <b>association</b>: extract from the Official Journal attesting to its declaration in the prefecture.</li><li>In France for a <b>public utility association or foundation</b>: copy of the decree in the Council of State recognising the public interest.</li><li>Outside France: certificate of registration/extract from the official or original certified register/signed legal documents necessary for the creation of a legal entity in the geographical area and/or the operating status of the account holder.</li></ul> <p><u>A copy of an additional supporting document</u> (<i>among the following documents</i>):</p> <ul style="list-style-type: none"><li>the articles of association of the non-profit organisation.</li><li>minutes of the last shareholders' meeting.</li></ul> <p><u>Collection of powers for a non-profit organisation</u> (<i>all of the following documents</i>):</p> <ul style="list-style-type: none"><li>the articles of association of the non-profit organisation.</li><li>delegation of powers or collection of authorised signatures.</li><li>identity document<sup>3</sup> of persons authorised to operate the securities account.</li></ul>
<b>Investment funds and Limited partnerships</b>  <b>Opening of the account</b> ( <i>name, legal form, postal address, registered office address, list of directors and their representatives</i> )	<p><b>Investment funds:</b></p> <p><u>A copy of an official proof of identity of the legal identity:</u></p> <ul style="list-style-type: none"><li>In the case of a <b>SICAV in France</b>: extract from the official register (such as K-bis).</li><li>Other <b>Investment Funds and Investment Funds outside France</b>: registration certificate / extract from the official register.</li></ul> <p><u>A copy of the fund's prospectus:</u></p> <ul style="list-style-type: none"><li>latest fund prospectus.</li></ul> <p><u>A copy of an additional document:</u></p> <ul style="list-style-type: none"><li>proof of supervision by local authorities.</li></ul> <p><b>Limited Partnerships:</b></p> <p><u>A copy of an official proof of identity of the legal entity:</u></p> <ul style="list-style-type: none"><li>certified trade register.</li></ul> <p><u>A copy of an additional document:</u></p> <ul style="list-style-type: none"><li>updated Limited Partnership Agreement, indicating the identity of the General Partner and the Limited Partnerships.</li><li>proof of supervision of the General Partner by local financial authorities.</li></ul> <p><b>Investment funds and Limited Partnerships:</b></p> <p><u>Collection of powers for an investment fund / a limited partnership</u> (<i>all of the following documents</i>):</p> <ul style="list-style-type: none"><li>the latest prospectus of the investment fund / of the limited partnership or extract from the official register.</li><li>delegation of powers or collection of authorised signatures.</li><li>identity documents<sup>3</sup> of persons authorised to operate the securities account.</li></ul>
<b>Trusts</b>	<p><u>A copy of an official proof of identity of the legal entity:</u></p>

<sup>3</sup> As a reminder, valid official identity documents accepted for natural persons are: a national identity card (front/back copy), a stay permit, a residence permit, a passport (copy of the first 4 pages) and a driving licence.

# REGISTERED SECURITIES ACCOUNT AGREEMENT ORDER-TAKING AGREEMENT

GENERAL TERMS AND CONDITIONS

**Opening of the account** (*name, legal form, postal address, registered office address, list of directors and their representatives*)

- trust agreement.
- extract from the Official Journal of the law (for trusts established by law).

A copy of an additional supporting document:

- copy of a proof of identity document<sup>5</sup> for the natural persons making up the trust.
- copy of a proof of identity document for the legal entities making up the trust, *please refer to the list of official proof of identity documents for legal entities, depending on the legal form.*

Collection of powers for a trust (all of the following documents):

- trust agreement.
- delegation of powers or collection of authorised signatures.
- identity documents<sup>3</sup> of the representative(s) and persons authorised to operate the securities account.

## Authorities and Public Bodies

**Opening of the account** (*name, legal form, postal address, country of affiliation, list of directors and their representatives*)

A copy of an official proof of identity of the legal entity:

- a certificate of registration.
- extract from the Official register (for foreigners, extract from the website of the Ministry of Foreign Affairs).

A copy of an additional supporting document (among the following documents):

- the articles of association of the legal entity.
- proof of the existence of a constitutional law establishing the government entity.
- proof of the charter issued by the governing body establishing the entity as a government entity or owned by the State.
- evidence of the existence of a government registry for official entities or government-approved entities.

Collection of powers for a local authority (all of the following documents):

- In France: decree appointing the legal representative of the local authority.
- Outside France: decree appointing the legal representative of the local authority (or equivalent).
- delegation of powers or collection of authorised signatures.
- identity documents<sup>3</sup> of the legal representative of the local authority and persons authorised to operate the securities account.

**Address for tax purposes**

- a confirmation of residence for tax purposes certified by the Account Holder's supervisory tax authorities in the new country, for example, the CERFA 5000 tax residence certificate,
- a confirmation from the Non-Resident Tax Centre (DGFIP, in France).
- a confirmation from an authority other than a tax authority: K-bis extract, extract from the trade register or equivalent register in the country.
- A sworn statement, signed by an authorised representative of the legal entity.

**Account details when the account is opened** (then for any change of bank account details)

**Proof of bank details, in the name of the legal entity, provided by your bank** such as a **bank account identification document (RIB)**. In order to be admissible, it must include the following data:

- The bank's international identifier: **BIC** (Bank Identifier Code) or **SWIFT** (Society for Worldwide Interbank Financial Telecommunication) code or the bank's name and address (if the bank does not have a BIC/SWIFT code).
- **IBAN** (International Bank Account Number) of the bank account if it is located in a country of the IBAN zone<sup>2</sup> or the bank account number for other countries.
- The account's **currency**.

Specific characteristics:

- For **accounts opened in the United States**, the bank account number must be made up of the "ABA" code – or the bank's routing code (9 characters) + account number
- For **accounts opened in Australia**, the bank account number must be made up of the BSB code (6 characters) + account number (6 to 9 characters)
- For **accounts opened in Canada**, the bank account number must be made up of the bank code (3 characters) + the bank branch code (5 characters) + account number.

**One of the supporting documents listed below, depending on the legal entity's legal status.**

**Trading Companies or Civil Companies**

**Changes in the name of the legal entity, its postal address, the address of its registered office or its legal nature**

- In France: extract from the official register (such as the K-bis) or extract from the Official journal.
- Outside France: certificate of registration/extract from the official or original certified register/signed legal documents necessary for the creation of a legal entity in the geographical area and/or the operating status of the account holder.
- the articles of association of the legal entity.

# REGISTERED SECURITIES ACCOUNT AGREEMENT ORDER-TAKING AGREEMENT

GENERAL TERMS AND CONDITIONS

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## Non-profit organisations

- In France for an **association**: extract from the Official Journal attesting to its declaration in the prefecture.
- In France for a **public utility association or foundation**: copy of the decree in the Council of State recognising the public interest.
- Outside France: certificate of registration/extract from the official or original certified register/signed legal documents necessary for the creation of a legal entity in the geographical area and/or the operating status of the account holder.
- the articles of association of the legal entity.

## Investment fund

- In the case of a **SICAV in France**: extract from the official register (such as K-bis).
- Other **Investment Funds and Investment Funds outside France**: registration certificate / extract from the official register.

## Limited Partnerships

- certified trade register.

## Trusts

- trust agreement.
- extract from the Official Journal of the law (for trusts established by law).

## Authorities and public bodies

- a certificate of registration.
- extract from the Official register (for foreigners, extract from the website of the Ministry of Foreign Affairs).
- the articles of association of the legal entity.

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All documents proving the identity of the representative(s) authorised to operate the securities account, i.e.: depending on the legal form of the legal entity:

### Change of representative(s) of the Legal Entity

- extract from the official register or the articles of association of the **trading company or civil company**.
- the articles of association of the **non-profit organisation**.
- the latest prospectus of the **investment fund** / of the **limited partnership** or extract from the official register.

### Or change of persons authorised to operate the securities account

- **trust** agreement.
- decree appointing the legal representative of the **local authority** (or equivalent).

And, for any type of legal entity, the following documents:

- the new delegation of powers or the new collection of authorised signatures.
- identity document<sup>3</sup> of the legal entity's representative(s) and persons authorised to operate the securities account.

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### Signature

**The registration form for the registered securities account agreement will be signed by the manager of the legal entity.**

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In order to meet its regulatory obligations, SGSS reserves the right to ask Account Holders for additional supporting documents or certification of the documents provided.

**REGISTERED SECURITIES ACCOUNT AGREEMENT  
ORDER-TAKING AGREEMENT**

GENERAL TERMS AND CONDITIONS

**APPENDIX 3: SPECIAL TERMS FOR OPENING OF THE ACCOUNT**

Additional documents to be provided depending on the type of account opened.

<b>ACCOUNT TYPE</b>	<b>SPECIAL TERMS FOR OPENING OF THE ACCOUNT</b>
<b>MINORS</b>	<p><u>Signature required:</u> the legal representatives of the minor.</p> <p><u>Copies of proof of identity documents to be attached:</u></p> <ul style="list-style-type: none"><li>• family record book or birth certificate.</li><li>• Identity document<sup>4</sup> of the minor Account Holder.</li><li>• two identity documents<sup>5</sup> for each legal representative.</li></ul> <p><u>Bank details:</u></p> <ul style="list-style-type: none"><li>• proof of the minor Account Holder's bank details.</li></ul>
<b>LEGALLY PROTECTED PERSONS</b>	<p><u>Signature required:</u> depending on the protection system, the Account Holder and/or their legal representative.</p> <p><u>Copy of documents and proof of identity to be attached:</u></p> <ul style="list-style-type: none"><li>• decision of the judge who ordered the guardianship or curatorship with designation of the representative to the legally protected person.</li><li>• identity document<sup>4</sup> of the Account Holder.</li><li>• identity document<sup>4</sup> of the representative.</li></ul> <p><u>Bank details:</u></p> <ul style="list-style-type: none"><li>• proof of the protected person Account Holder's bank account details.</li></ul>
<b>JOINT AND SEVERAL ACCOUNTS</b>	<p><i>A registration form is sent to each Account Holder.</i></p> <p><u>Signature required:</u> from each Account Holder (or their representative in the case of minors and legally incompetent persons).</p> <p><u>Copies of proof of identity documents to be attached:</u></p> <ul style="list-style-type: none"><li>• two identity documents<sup>5</sup> for each Account Holder.</li></ul> <p><u>Bank details:</u></p> <ul style="list-style-type: none"><li>• proof of the bank details of each Account Holder or a joint and several bank account opened in the name of all the Account Holders.</li></ul>
<b>JOINT ACCOUNTS</b>	<p><i>A single registration form is sent to the two joint Account Holders.</i></p> <p><u>Signature required:</u> the signature of both joint Account Holders.</p> <p><u>Copies of proof of identity documents to be attached:</u></p> <ul style="list-style-type: none"><li>• two identity documents<sup>5</sup> for each of the two joint Account Holders.</li></ul> <p><u>Bank details:</u></p> <ul style="list-style-type: none"><li>• proof of the bank details of each Account Holder or a joint bank account opened in the name of all the joint Account Holders.</li></ul>
<b>USUFRUCT/REVERSIONARY-OWNERSHIP ACCOUNTS</b>	<p><i>A registration form is sent to each usufructuary and reversionary owner</i></p> <p><u>Signature required:</u> each Account Holder (or their representative in the case of minors and legally incompetent persons).</p> <p><u>Copies of proof of identity documents to be attached:</u></p> <ul style="list-style-type: none"><li>• two pieces of identity<sup>5</sup> for each beneficial owner and reversionary owner.</li></ul> <p><u>Bank details:</u></p> <ul style="list-style-type: none"><li>• proof of each Account Holder's bank details (usufructuary(ies) and reversionary owner(s)).</li></ul>
<b>IN CASE OF APPOINTMENT OF AN AUTHORISED AGENT</b>	<p><u>Signature required:</u> signature of authorised agent.</p> <p><u>Copies of documents and proof of identity to be attached:</u></p> <ul style="list-style-type: none"><li>• the power of attorney (power of attorney drawn up by a solicitor or power of attorney by private agreement).</li><li>• two identity documents<sup>5</sup> of the authorised agent.</li></ul>

<sup>4</sup> As a reminder, valid official identity documents accepted for natural persons are: a national identity card (front/back copy), a stay permit, a residence permit, a passport (copy of the first 4 pages) and a driving licence.

<sup>5</sup> Either an identity document from the list above, which is not identical to the first document provided or one of the following documents: the last tax assessment notice, a Carte Vitale (health card), a pay slip, a family record book, an extract from the civil status register of the country of origin (birth certificate), or any other document issued by the consular authorities of the holder's country of origin.